

Economy and Environment Directorate Risk Register June 2023

		Impact				
		2	3	4	5	
Likelihood	5	EE.06 EE.07 EE.21 EE.38	EE.46 EE.47	EE.54 *	EE.15	
	4	N/A	EE.09 EE.39 EE.51	EE.23 EE.27 EE.32 EE.33 EE.50	EE.12	
	3	N/A	EE.02 EE.31	EE.19 EE.24 EE.25 EE.26 EE.30 EE.34 EE.35	EE.36 EE.37 EE.41 EE.48 EE.49 EE.56 *	EE.04 EE.13 EE.14 EE.28
	2	N/A	N/A	N/A	EE.53	

Jun-23

Reference	Description	Corporate Risk
EE.02	Food Hygiene Inspection Program IF: there is non-compliance with the Food Standards Agency (FSA) Code of Practice with regards to the interventions at D & E rated food businesses THEN: possible FSA intervention and increased public health risk	
EE.04	Waste management services contract - resourcing of review IF: we do not appropriately resource the review THEN: there is a risk that we may not meeting the tight timescales to be able to re--procure a new service in time	
EE.06	Destination Hereford - last year of secured DfT funding IF: There is no follow up funding opportunity to apply to OR if we are unsuccessful in this if there is a next funding round THEN: the vast majority of the Destination Hereford project will finish in Sept 2022	
EE.07	Herefordshire on street cycle hire scheme (Beryl) IF: we are unable to secure additional external funding THEN: there will be a £125k revenue pressure in 22/23.	
EE.09	Corporate Energy Bills IF: Forecasted energy prices for next year do not start to decline THEN: The cost of energy bills for the council's corporate estate will rise considerably next year (in the region of 17-19% for gas and 11-14% for power). This is currently estimated by WME at ~£120k for 2022/23.	
EE.12	Waste Collection Vehicles - lead time for supply of new vehicles IF: Supply chain issues continue THEN: there is a risk that we will not be able to secure the required new waste collection vehicles in time for the mobilisation of the new waste collection service in Nov 23.	Yes (CRR.68)
EE.13	Wetlands IF: Wetlands are to deliver the required phosphate reduction to enable housing development in the Lugg catchment areas THEN: The delivery of these wetlands must be driven at pace to ensure that (1) the effective moratorium is unblocked ASAP and (2) the requirement to spend £1m of the LEP grant by 31/3/22 is met so that the £1m LEP funding does not have to be returned.	
EE.14	Ecology Resource IF: The ecology team does not get additional resource THEN: The delivery of their statutory role in planning consultations will not be met and the internal expertise to deliver many of the council's environmental actions within the corporate plan will also be at risk.	
EE.15	Ash Dieback (Chalara) IF: An action plan is not adopted to deal with the onset of Ash Die Back within the County THEN: the authority faces significant risk and liability linked to personal injury and third party claims. The current significant risk on the network is in the Public Realm and Property. There is a significant risk of unplanned financial burden linked to removal of a significant percentage of tree stock on council land and the potential impact of trees adjacent to the Public Realm and Property. Chalara also poses a significant risk to the county's biodiversity as the loss of the county's ash population would also have wider negative impacts for woodland biodiversity and ecology.	Yes (CRR.67)

EE.19	Severe Weather and other Emergencies IF: Severe weather, or other major emergencies events occur (for example severe and prolonged winter periods) the need will exceed programmed operational resources THEN: The service will need to call on corporate revenue reserves if it is to continue to meet the Council's duties as a highway authority.	
EE.21	Local flood risk management strategy IF: The implementation of the LFRMS is not fully embedded THEN: HC will not be able to manage local flood risk in a more co-ordinated way and won't be able to help individuals, communities, businesses and authorities understand and manage flood risk within the county.	
EE.23	HCCI - Delivery of HCCI programme could increase revenue pressure on Public Realm Council promoted schemes such as HCCI - programme could increase revenue pressure on Public Realm due to asset management.	Yes (CRR.69)
EE.24	HCCI - WPD sub-station IF A new location for the WPD substation and the replacement works are not progressed. THEN The ability to progress the next phase of construction and meet the LEP spend requirements for 21/22 financial year may be compromised.	
EE.25	Infrastructure Projects IF Projects are to be let through open procurement outside the public realm contract THEN There may be delays to scheme progression or significant pressure on resources to meet the scheme delivery and management requirements.	
EE.26	Hereford City Centre Transport Package - BP garage IF there is a delay to concluding the claim received for the BP Garage THEN significant costs may be incurred if the matter is taken to a tribunal.	
EE.27	Hereford City Centre Transport Package - land budget IF the balance of land payments and costs are higher than the allocated budget THEN this could result in the land budget being exceeded which would further reduce the budget available for the remaining scheme elements. If this further reduction was too significant then it may not be possible to meet the objectives of the business case without further capital funding. This increase would arise from both an increase in value of the claim and also possible costs associated with the matter being referred to the upper land tribunal.	Yes (CCR.63)
EE.28	Phosphate Pollution in Lugg Catchment IF: A way forward cannot be found in relation to the moratorium on housing development in the River Lugg catchment arising from elevated phosphate levels THEN: the 5 Year Housing Land Supply figure will fall further which will have a severe impact upon the weight of Neighbourhood Development Plans and the outcome of planning appeals, leading to a 'plan led' rather than a 'policy led' county.	

EE.30	CCTV - camera location IF funding isn't available to upgrade or provide additional CCTV cameras in Hereford city due to the installation of trees impeding the views of CCTV THEN there is a possibility that the service will cease delivery, or need to provide a reduced service.	
EE.31	Hereford City Centre Transport Package - upper land tribunal Increase in costs associated from both an increase in value of the claim and also possible costs associated with the matter being referred to the upper land tribunal.	
EE.32	HCCI - delivery within LEP timescale IF The ability to deliver required works within the timescale of the LEP funding cannot be met THEN resulting in possible loss of funding.	Yes (CRR.70)
EE.33	HCCI - decision making and VFM IF LEP decision making drive poor decision making and VFM	Yes (CRR.71)
EE.34	HCCI - exceed budget IF Proposed works exceed budget	
EE.35	HCCI - change in aspirations IF The aspirations of the current cabinet diverge from the existing scheme requiring more substantial changes to be made impacting costs and programme.	
EE.36	HCCI - contractor sub-performance IF Contractor sub-performance may affect the quality, programme and cost of the project.	
EE.37	HCCI - emerging cost pressures IF There are emerging cost pressures on a number of the HCCI elements which require careful decision making. It should be noted that the below are estimates made on the 'worst-case' scenario.	
EE.38	Green Homes Grant Local Authority Delivery (GHG LAD) phase 2 project IF: Funding is not defrayed for green home measures by project end THEN: allocated Herefordshire funding will need to be returned to Midlands Net Zero Hub (MNZH)	
EE.39	Green Homes Grant LAD 1a project IF contractor evidence is not provided of scheme (MoU) compliance THEN further funding may need to be returned to BEIS.	
EE.41	Road conditions programme IF: The ongoing programme of road condition improvements is not sustained in accord with the asset management strategy THEN: the overall customer satisfaction with the condition of roads will decrease as a consequence of the deterioration in the highway asset.	
EE.46	Public Realm - Increased inflationary pressure on the Annual Plan budget	
EE.47	Resident Parking Zones Validity IF Resident parking Zones in Hereford are not compliant to the Traffic Regulation Order THEN rectification works will need to be undertaken to correct the situation. The cost and reputational damage could be significant.	

EE.48	Resources (Highways and Transport) IF: Resource levels are not enhanced THEN: The capability to monitor and manage the network is compromised, responses to workload and issues will be limited.	
EE.49	Response to DMMO Applications IF: Resource levels are not enhanced THEN: The capability to monitor and manage the network is compromised, responses to workload and issues will be limited.	
EE.50	Supply chain capacity IF: There remains insufficient capacity in the supply chain to meet the increasing demand (public and school transport). THEN: The service will be unable to deliver statutory/socially necessary services and contracting costs will increase	YES (CRR.80)
EE.51	External staff recruitment (Transport) IF: The challenging recruitment market continues THEN: we will be unable to recruit sufficient numbers of Passenger Assistants and Drivers to deliver statutory services	
EE.53	Contractual Payments. IF: the CMT has insufficient resources to process certain elements of the Cost Of Services Process to Date (COSPTD) THEN the council could incur late payment interest charges and encounter difficulties in posting financial information into Business World (BW) leading to risk of incomplete and out of date financial reporting. This risk would significantly increase if resources were unavailable at Year End and commencement of new contract year.	
EE.54	Contract Fleet Lease Expiry. IF: the risk that BBLP fleet leases expire in September 23 are not renewed or replaced THEN the council could incur loss of service and additional costs for short term hire up to Aug 24 as no contract extension has been confirmed.	YES (CRR.82)
EE.56	Notice to terminate Lease at The Maltings Car Park IF: The council do not have right to renew the lease THEN: the council could lose around 60% of the car park land and lose income circa £110k per annum.	

Risks de-escalated to service risk register		
EE.52	Neighbourhood Planning IF: limited funds are available to progress plans to examination and referendum THEN without the finance to undertake the examinations and referendum the Council would not be able to undertake its statutory duty to progress NDPs to adoption. This would also effect the coverage of development plans in the county.	
EE.20	Highway Condition IF: The maintenance of the highway network continues at the current level THEN: the condition of the network will continue to deteriorate and the cost of rectifying this deterioration will increase with adverse reputational impact.	

Closed Risks		
EE.03	Waste management services contract - best decision IF: we fail to make best decision in regard to WMSC extension THEN: value for money to the council will not be delivered.	
EE.05	Herefordshire fuel poverty level IF/AS: Fuel poverty levels in the county rise (the latest sub-regional statistic (2018) is above the national average) THEN: There is a public health and reputational risk that we are not addressing fuel poverty levels and moving forward incidences of excess winter deaths and costs to health, social care will rise.	Yes (CRR.79)
EE.17	BBBLP Annual Plan Delivery Covid 19 cost IF: additional cost continues to be identified due to the current resource situation (the costs are captured in the AP EW's and RR meetings) THEN: there will be delivery/financial implications for the Annual Plan *Additional funding from government is expected but the extent is not known.	
EE.42	Public Realm - Managing End of Contract - Asset, data	
EE.43	Public Realm - Managing End of contract - performance and budget	
EE.44	Public Realm - Shaping new Contract, performance and contract time	
EE.45	Public Realm - Response to the Audit - contract improvement plan.	